

Guarantee Advise Cancellation - Islamic User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Guarantee Advise Cancellation - Islamic User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of Trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advise Cancellation - Islamic

As part of Conventional Guarantee Advise Cancel, System enables the user to cancel the Guarantee which had been already Advised.

The various stages involved for Guarantee Advise Cancel are:

- Receive and verify documents and Input basic details- Registration stage
- Upload of related mandatory and non-mandatory documents
- Input/Modify
- details of Cancel of Guarantee - Data Enrichment stage
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Advise Cancel process flow is similar to that of conventional Guarantee Advise Cancel process flow.

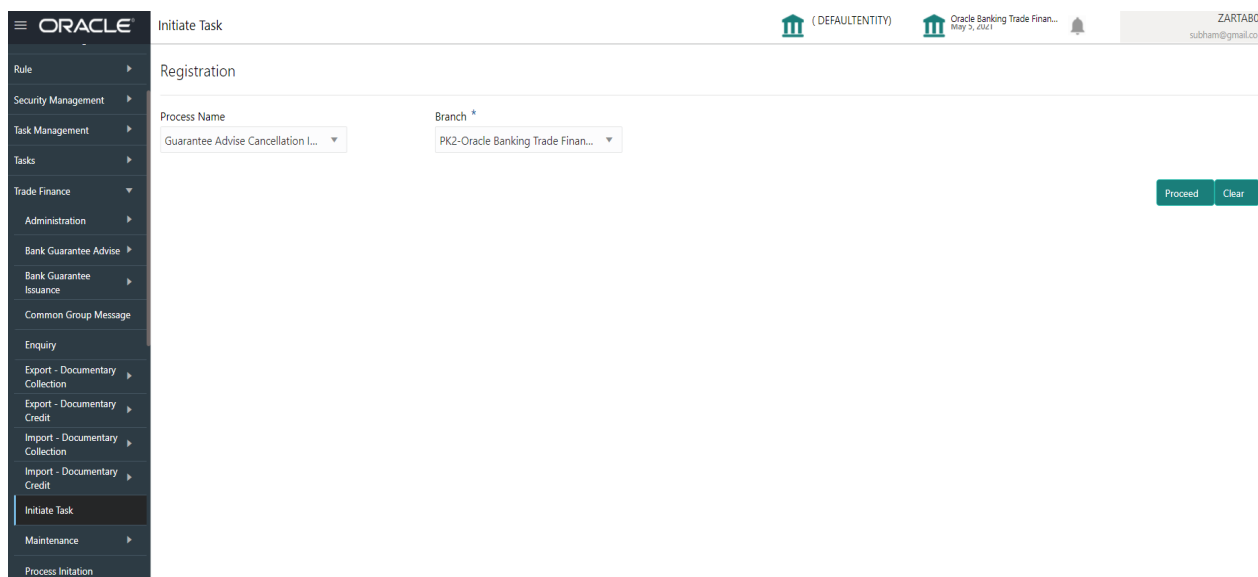
This section contains the following topics:

Common Initiation Stage	Common Initiation Stage
Data Enrichment	Multi Level Authorization

Common Initiation Stage

The user can initiate the Islamic Guarantee Advise Cancellation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.

Field	Description
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

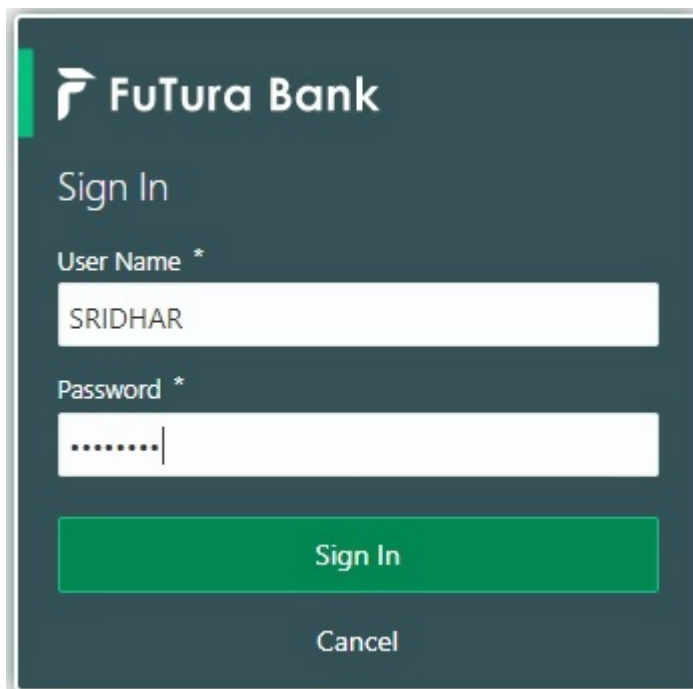
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

The first stage of Guarantee Advise Cancellation process starts from the Registration Stage. User can register a request for an Islamic Guarantee Advise Cancel received at the front desk (as an application received physically/received by mail/fax). During registration (Ref Fig-2), I will capture the basic details of the Cancel application, check the signature of the applicant and upload related documents. On submit of the Cancel request, the customer should be notified with acknowledgment and the request should be available for the Guarantee expert to handle in the next stage.

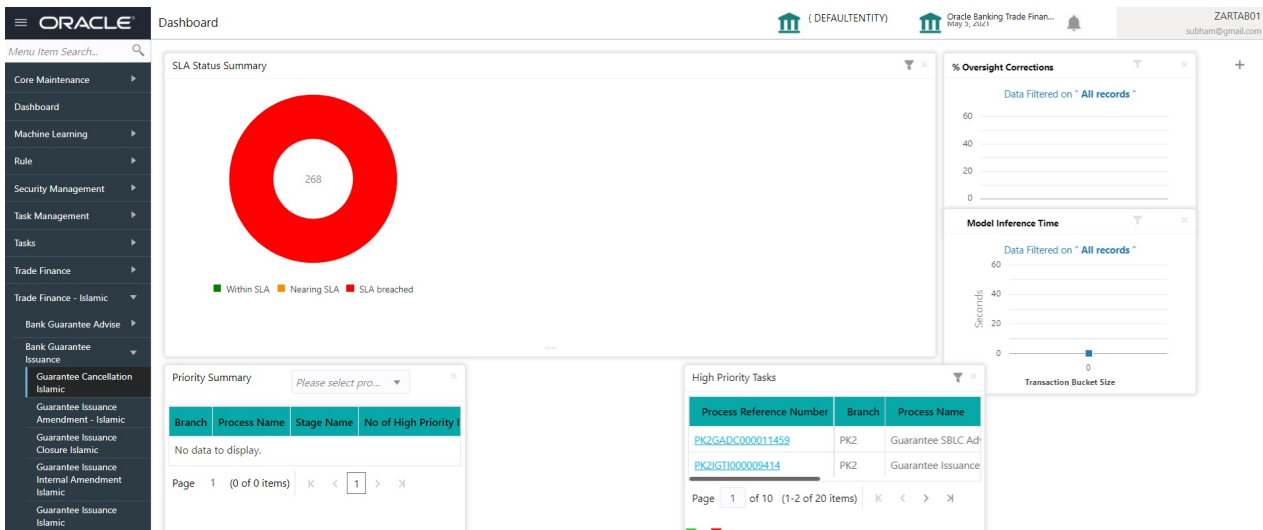
The user has the option to submit, hold, save and hold and cancel the application

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click Trade Finance - Islamic > Bank Guarantee Advise > Guarantee Advise Cancellation - Islamic.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the details of Registration screens below:

Application Details

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	The user can input the Advising Reference of the Guarantee to be cancelled. Alternatively, user can search the Advising Bank Reference Number using LOV. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount.	
Beneficiary	Read only field. System will default the name of the customer as available in Guarantee Advise.	Toggle off
Branch	Read only field. System will default the branch from Guarantee Advise.	
Priority	System will default the Priority as Low/Medium/. High based on maintenance. If no priority is maintained, system defaults the priority as Medium.	High

Field	Description	Sample Values
Submission Mode	Submission mode of Guarantee. Cancellation request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Email - Request received through Email Courier - Request received through Courier	Desk
Amendment Number	Read only field. Unique Amendment sequence number defaults from the back office.	
Process Reference Number	Unique sequence reference number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134
Issuing Bank	Issuing Bank Name defaults from the Guarantee Advise details.	
Cancellation Date	By default, the application will display branch's current date. User can change the date to back date, future date is not allowed.	

Guarantee Details

The user can view the latest LC values defaulted in the respective fields. All fields displayed in Guarantee details section are read only fields.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. Form of Undertaking defaults from Guarantee Advised.	
Undertaking Number	Read only field. Form of Undertaking number from Guarantee Advised.	

Field	Description	Sample Values
Product Code	Read only field. This field displays the product code defaulted from Guarantee Advised.	
Product Description	Read only field. This field displays the description of the product as per the product code available in Guarantee Advised.	
Undertaking Amount	Read only field. System defaults the outstanding value available in Guarantee Advised.	
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Purpose of Message	Read only field. Purpose of message defaults from Guarantee Advised.	
File Identification	Read Only Field. System will default the value available in Guarantee Advised.	
Narrative	Read Only Field. System defaults the value available in Guarantee Advised.	
Applicable Rules	Read only field. This field displays the rules of the Guarantee Advised.	
Narrative	System defaults the value available in Guarantee Advised.	
Type Of Undertaking	Read only field. System defaults the value available from Guarantee Advised details.	
Narrative	Read Only Field. System defaults the value available in Guarantee Advised.	
Date of Issue	Application will default the branch's current date in date of issue. User cannot change the defaulted date. Application will populate the Date of Issue field with branch date on approval if date of approval is later than date of registration.	04/13/18

Field	Description	Sample Values
Expiry Type	Read Only Field. System defaults the expiry type available in Guarantee Advised.	
Date of Expiry	Read Only Field. System defaults the expiry date available in Guarantee Advised.	
Expiry Condition/ Event	Read Only Field. System defaults the expiry condition available in Guarantee Advised.	
Applicant	Read only field. This system defaults the applicant name available in Guarantee Advised.	
Obligor/ Instructing Party	Read only field. This system defaults the value available in Guarantee Advised.	
Advice Through Bank	Read only field. System defaults the value available in Guarantee Advised.	
Additional Amounts	Additional Amount Covered as per the latest LC details is displayed.	
Beneficiary Consent Required	Toggle on: Beneficiary consent required for cancellation. Toggle off: Switch off the toggle if beneficiary consent is not required for cancellation.	

Miscellaneous

Guarantee Advise Cancellation - Islamic

Application Details

Advising Bank Reference Number PK2GUA1211256002	Beneficiary * 001044 GOODCARE PLC	Branch * PK2-Oracle Banking Trade Finan...	Priority * Medium
Submission Mode * Desk	Amendment Number 1	Process Reference Number PK2IGAD000071793	Issuing Bank * 001183 RABO BANK
Cancellation Date May 5, 2021			

[View Guarantee/SBLC](#) [Guarantee/SBLC Events](#)

Guarantee Details

22D - Form of Undertaking [Dropdown]	20 - Undertaking Number [Text]	Product Code GUA1	Product Description Islamic Export LC - advising of Guarant
32B - Undertaking Amount * GBP £1,212.00	Amount In Local Currency GBP £1,212.00	22A - Purpose of Message Advice of amendment to issued ...	23X - File Identification [Dropdown]
23X - Narrative [Text]	40C - Applicable Rules URDG - Uniform rules for dema...	40C - Narrative [Text]	22K - Type of Undertaking OTHR - Other delivery channel
22K - Narrative [Text]	30 - Date of Issue May 5, 2021	23B - Expiry Type COND	Date of Expiry Nov 2, 2021
35G - Expiry Condition/Event ArunCond	Applicant 001043 MARKS AND SP	51 - Obligor/ Instructing Party [Text]	Advise Through Bank [Text]
39D - Additional Amounts [Text]	Beneficiary Consent Required [Text]		

[Hold](#) [Cancel](#) [Save & Close](#) [Submit](#)

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents. System displays the mandatory and optional documents that are maintained in Document Maintenance. If mandatory documents are not uploaded, system should display an error on submit.	
Remarks	Provide any additional information regarding the Guarantee Advice. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
View Guarantee/SBLC	Clicking on View Guarantee/SBLC button enables user to view the details of the Guarantee/SBLC.	
Guarantee/SBLC Events	Clicking on Events button enables the user to view the snapshot of various events under the Guarantee/SBLC details.	

Field	Description	Sample Values
Action Buttons		
Submit	On Submit, system trigger advice to the customer and will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advice Cancellation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	The task gets canceled and system should clear the Guarantee Advice Cancellation Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	
Verify Signature	System will display the details of Authorized signatories. The pop up box will display the signature id, signature title and image of the signature for verification.	

Data Enrichment

As part of Data Enrichment, user can register and update the basic details of Guarantee Cancellation request. If the request is received by mail/Courier, the user should be able to update the request. In case the message is received by SWIFT, then the cancellation task needs to be auto created and available for the user to handle.

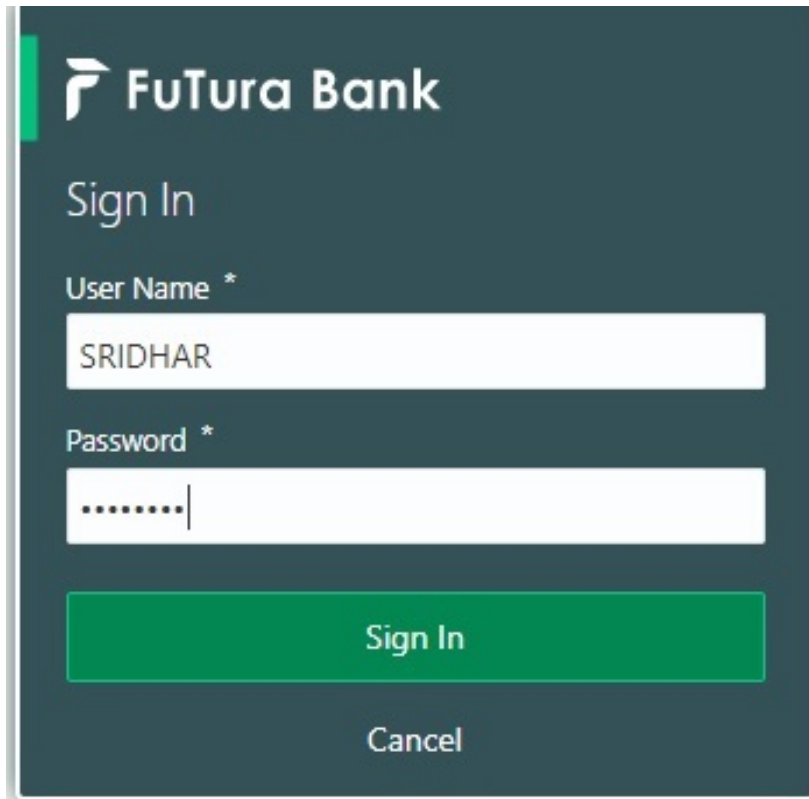


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

Password *

.....

Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Tasks > Free Tasks**.

Free Tasks

(DEFAULTIDENTITY) Oracle Banking Trade Finan... May 5, 2021 ZARTAB02 subham@gmail.com

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input type="checkbox"/> Acquire & E...	Medium	Islamic Guarantee Advise Cancellation	PK2IGAD000071793	PK2IGAD000071793	DataEnrichment	22-03-30	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	GuaranteeAdv Amendment Beneficia...	PK2IGAA000071759	PK2IGAA000071759	KYC Exceptional approval	22-03-30	PK2	001044
<input type="checkbox"/> Acquire & E...	High	Import LC Issuance	PK2ILCI000071746	PK2ILCI000071746	Scrutiny	22-03-29	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee advise claim lodging	PK2GADC000071735	PK2GADC000071735	DataEnrichment	22-03-29	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee SBLC Advised-Claim Upda...	PK2IGAC000071729	PK2IGAC000071729	AmountBlock Exception App...	22-03-29	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee advise claim lodging	PK2GADC000071693	PK2GADC000071693	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee SBLC Advised-Claim Update	PK2GADC000071689	PK2GADC000071689	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee advise claim lodging	PK2GADC000071686	PK2GADC000071686	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Import LC Issuance	PK1ILCI000071684	PK1ILCI000071684	Handoff RetryTask	22-03-27	PK2	000325
<input type="checkbox"/> Acquire & E...	Medium	Guarantee Issuance Amendment Isla...	PK2IGTM000071683	PK2IGTM000071683	Registration	22-03-26	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Guarantee Issuance Amendment Isla...	PK2IGTM000071682	PK2IGTM000071682	Registration	22-03-26	PK2	001044
<input type="checkbox"/> Acquire & E...	Medium	Lodge Claim - Guarantee Issued	PK2GTEC000071647	PK2GTEC000071647	DataEnrichment	22-03-25	PK2	001044
<input type="checkbox"/> Acquire & E...	High	Guarantee Advise	PR2GTEA000071660	PR2GTEA000071660	Registration	22-03-25	PK2	

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4. Select the appropriate cancellation task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

ORACLE

Recent Searches

Core Maintenance

Dashboard

Machine Learning

Maintenance

Orchestration Hub

Security Management

Task Management

Tasks

Awaiting Customer Clarification

Business Process Maintenance

Completed Tasks

Free Tasks

Hold Tasks

My Tasks

Free Tasks

Refresh

Acquire

Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/>	Medium	Islamic Guarantee Advise Cancellation	PK2IGAD000071793	PK2IGAD000071793	DataEnrichment	22-03-30	PK2	001044
<input type="checkbox"/>	Medium	GuaranteeAdv Amendement Beneficia...	PK2IGAA000071759	PK2IGAA000071759	KYC Exceptional approval	22-03-30	PK2	001044
<input type="checkbox"/>	High	Import LC Issuance	PK2ILCI000071746	PK2ILCI000071746	Scrutiny	22-03-29	PK2	001044
<input type="checkbox"/>	Medium	Guarantee advise claim lodging	PK2GADC000071735	PK2GADC000071735	DataEnrichment	22-03-29	PK2	001044
<input type="checkbox"/>	Medium	Guarantee SBLC Advised-Claim Upda...	PK2IGAC000071729	PK2IGAC000071729	AmountBlock Exception App...	22-03-29	PK2	001044
<input type="checkbox"/>	Medium	Guarantee advise claim lodging	PK2GADC000071693	PK2GADC000071693	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/>	Medium	Guarantee SBLC Advised-Claim Update	PK2GADC000071689	PK2GADC000071689	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/>	Medium	Guarantee advise claim lodging	PK2GADC000071686	PK2GADC000071686	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/>	Medium	Import LC Issuance	PK1ILCI000071684	PK1ILCI000071684	Handoff RetryTask	22-03-27	PK2	000325
<input type="checkbox"/>	Medium	Guarantee Issuance Amendment Isla...	PK2IGTM000071683	PK2IGTM000071683	Registration	22-03-26	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Issuance Amendment Isla...	PK2IGTM000071682	PK2IGTM000071682	Registration	22-03-26	PK2	001044
<input type="checkbox"/>	Medium	Lodge Claim - Guarantee Issued	PK2GTED000071647	PK2GTED000071647	DataEnrichment	22-03-25	PK2	001044
<input type="checkbox"/>	High	Guarantee Advise	PR2GTEA000071660	PR2GTEA000071660	Registration	22-03-25	PK2	

Page

1

of 171 (1 - 20 of 3420 items)

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171

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X

(DEFAULTTENTITY)

Oracle Banking Trade Finan...

May 5, 2021

ZARTAB02

subham@gmail.com

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

ORACLE		My Tasks		(DEFAULTTENTITY)		Oracle Banking Trade Finan... May 5, 2021		ZARTAB02 subham@gmail.com	
Refresh		Release		Escalate		Delegate		Flow Diagram	
Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	
<input checked="" type="checkbox"/>	Medium	Islamic Guarantee Advise Can...	PK2IGAD000071793	PK2IGAD000071793	DataEnrichment	22-03-30	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Cancellation Islamic	PK2IGCI000071767	PK2IGCI000071767	Approval Task Level 1	22-03-30	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee SBLC Advised-Clai...	PK2IGAC000071725	PK2IGAC000071725	Approval Task Level 1	22-03-28	PK2	001204	
<input type="checkbox"/>	Medium	Islamic Export LC Closure	PK2IECL000071551	PK2IECL000071551	Approval Task Level 1	22-03-23	PK2	001043	
<input type="checkbox"/>	Medium	Islamic ExportLC Amendement ...	PK2IETB000071466	PK2IETB000071466	KYC Exceptional approval	22-03-22	PK2	001204	
<input type="checkbox"/>	Medium	Guarantee Issuance Amendm...	PK2IGTM000071450	PK2IGTM000071450	Registration	22-03-22	PK2	000153	
<input type="checkbox"/>	Medium	Guarantee Issuance Amendm...	PK2IGTM000071448	PK2IGTM000071448	Registration	22-03-22	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Issuance Closure	PK2GTED000071396	PK2GTED000071396	DataEnrichment	22-03-17	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Issuance Closure	PK2GTED000071394	PK2GTED000071394	DataEnrichment	22-03-17	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Advise Amendment	PK2GTAA000071391	PK2GTAA000071391	DataEnrichment	22-03-17	PK2	001044	
<input type="checkbox"/>	--	Guarantee Issuance Closure	PK2GTED000071390	PK2GTED000071390	Registration	22-03-17	PK2	001044	
<input type="checkbox"/>	Medium	Islamic Export Documentary C...	PK2IEDC000071379	PK2IEDC000071379	DataEnrichment	22-03-17	PK2	001044	
<input type="checkbox"/>	Medium	Import LC Issuance Islamic	PK1ILIU000071365	PK1ILIU000071365	Registration	22-03-17	PK2	000321	

The Guarantee Advise Cancellation - Data Enrichment stage has three sections as follows:

- Main Details
- Guarantee Preferences
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Guarantee Advise Cancellation Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

A DE User can input new Guarantee Advise Cancel. As part of data enrichment, user will enter/update basic details of the incoming request. Main details section has two sub section as follows:

- Application Details
- Guarantee Details

Application Details

Refer to [Application Details](#) section of [Registration](#) stage for more information of the fields.

Islamic Guarantee Advise Cancellation
DataEnrichment :: Application No:- PK2IGAD000071793

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View Undertaking

Screen (1 / 7)

Main

Guarantee Preference
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Main

Advising Bank Reference Number
PK2GUA121256002

Submission Mode
Desk

Cancellation Date
May 5, 2021

Beneficiary
001044 GOODCARE PLC

Amendment Number
1

Branch
PK2-Oracle Banking Trade Finan...

Process Reference Number
PK2IGAD000071793

Priority
Medium

Issuing Bank
001183 RABO BANK

Guarantee Details

22D - Form of Undertaking
GUAI

20 - Undertaking Number
Amount In Local Currency
GBP £1,212.00

32B - Undertaking Amount
GBP £1,212.00

23X - Narrative

22K - Narrative

35G - Expiry Condition/Event
ArunCond

40C - Applicable Rules
URDG - Uniform rules for dema...

30 - Date of Issue
May 5, 2021

Applicant
001043 MARKS AND SP

Product Code
GUAI

22A - Purpose of Message
Advice of amendment to issued ...

40C - Narrative

23B - Expiry Type
COND

51- Obligor/ Instructing Party

Product Description
Islamic Export LC - advising of Guarant

23X - File Identification

22K - Type of Undertaking
OTHR - Other delivery channel

Date of Expiry
Nov 2, 2021

Advise Through Bank

Audit

Reject Refer Hold Cancel Save & Close Back Next

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#).

Guarantee Details

22D - Form of Undertaking
GUAI

32B - Undertaking Amount
GBP £1,212.00

23X - Narrative

22K - Narrative

35G - Expiry Condition/Event
ArunCond

39D - Additional Amounts

20 - Undertaking Number
Amount In Local Currency
GBP £1,212.00

40C - Applicable Rules
URDG - Uniform rules for dema...

30 - Date of Issue
May 5, 2021

Applicant
001043 MARKS AND SP

Beneficiary Consent Required

Product Code
GUAI

22A - Purpose of Message
Advice of amendment to issued ...

40C - Narrative

23B - Expiry Type
COND

51- Obligor/ Instructing Party

Product Description
Islamic Export LC - advising of Guarant

23X - File Identification

22K - Type of Undertaking
OTHR - Other delivery channel

Date of Expiry
Nov 2, 2021

Advise Through Bank

Audit

Reject Refer Hold Cancel Save & Close Back Next

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard.</p> <p>The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Guarantee Preference

A DE User can verify the Preference Data segment of Islamic Guarantee Advise Cancel.

Islamic Guarantee Advise Cancellation
DataEnrichment :: Application No:- PK2IGAD000071793

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View Undertaking

Main
Guarantee Preference
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Guarantee Preference
Requesting Bank Details
Issuing Bank
Reference
Issue Date
Sender to Receiver Info

77C Details of Guarantee
77U - Terms and Conditions
ArunTerms

72-Sender to Receiver Information
72-Sender to Receiver Information

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (2 / 7)

Provide the Guarantee Preference based on the following table.

Field	Description	Sample Values
Requesting Bank Details		
Issuing Bank	Select the issuing Bank from the LOV.	
Reference	Indicates the reference number.	
Issue Date	Select the issue date.	
Sender to Receiver Information	Select the additional information for receiver from the LOV.	
MT768- Acknowledgment Details		
Terms and Conditions	Provide the terms and conditions.	
Sender to Receiver Information		
Sender to Receiver Information	Select the additional information for receiver from the LOV.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard.</p> <p>The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Additional Fields

In this step system defaults the Additional details based on the Additional fields maintained in the system.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level. A DE User can verify the advice details Data segment of Islamic Guarantee Advise Cancel request.

Islamic Guarantee Advise Cancellation
DataEnrichment :: Application No:- PK2IGAD000071793

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View Undertaking

Main
Guarantee Preference
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Screen (4 / 7)

Advice : GUA_INSTR	Advice : GUARANTEE	Advice : AMD_IMP_CR	Advice : AMD_IMP_CR
Advice Name : GUA_INSTR Advice Party : ABK Party Name : WELLS FARGO LA Suppress : NO Advice	Advice Name : GUARANTEE Advice Party : BEN Party Name : Trade Indiv 2 Suppress : NO Advice	Advice Name : AMD_IMP_CR Advice Party : APP Party Name : NATIONAL FREIGHT CORP Suppress : NO Advice	Advice Name : AMD_IMP_CR Advice Party : APP Party Name : NATIONAL FREIGHT CORP Suppress : NO Advice
Advice : LC_CASH_COL_A...	Advice : LC_CASH_COL_A...	Advice : PAYMENT_MESS...	Advice : PAYMENT_MESS...
Advice Name : LC_CASH_COL_ADV Advice Party : APP Party Name : NATIONAL FREIGHT CORP Suppress : NO Advice	Advice Name : LC_CASH_COL_ADV Advice Party : APP Party Name : NATIONAL FREIGHT CORP Suppress : NO Advice	Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice	Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice

Audit Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

Party ID

001044

Advice Name

GUA_AMD_INSTR

Medium

Advice Party

BEN

Party Name

GOODCARE PLC

FFT Code

Select

FFT Code


FFT Description


No data to display.

Instructions

OK

Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required.	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC advise. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC advise. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Free Format Text		
FTT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
Action	Click Delete icon to remove any existing FFT code. Click Edit icon to edit the existing FFT code.	

Field	Description	Sample Values
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
Action	<p>Click Delete icon to remove any existing instruction code.</p> <p>Click Edit icon to edit the existing instruction code.</p>	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Cancel	Cancel the task window and return to dashboard. The data entered will not be saved.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Next	Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Additional Details

In the Additional details section, the user can verify/input/update the additional details data segment of the Islamic Guarantee/SBLC advise Cancellation request.

Guarantee cancellation may have impact on the Charges & Commission section.

Limit and Collateral

The limits and collateral details are displayed as tile. The tiles displays a list of important fields with values.



Note
For Guarantee Advising MT 760, user can input the values, applicable if Advising bank confirms undertaking.

Limit & Collateral ×

Limit Details

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	001044	001044_EU	100	GBP	\$1,212.00	Available	The Earmark can be performed as the facility has sufficient balance of 999919	001044	

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit	Delete
Cash Collateral	8	GBP	\$96.96	PK20010440017			Cash Collateral	

Save & Close
Close

Limits Details

Limit Details ×

Customer Id

001044

Line ID *

001044_GB

Contribution % *

100.0

Limits Description

Contribution Currency

GBP

Contribution Amount *

£9,000.00

Limit Currency

GBP

Limit Available Amount

£9,99,999.00

Limit Check Response

Available

Response Message

The Earmark can be performed as the f

Expiry Date

24-Dec-2020

Verify

Save & Close
Close

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
	Click plus icon to add new Limit Details.	
Edit	Click edit link to edit any existing Limit Details.	

Field	Description	Sample Values
Delete icon	Click delete icon to remove any existing Limit Details.	
Customer ID	This field displays the applicant's bank customer ID.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Limits Description	Description of limit.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Provide the collateral details based on the description provided in the following table:

Collateral Details

Collateral Type *

Cash Collateral

Collateral % *

10.0

Currency

GBP

Contribution Amount *

£7,635.50

Settlement Account *

PK20010430013

Settlement Account Branch

PK2

Settlement Account Currency

USD

Account Available Amount

\$99,832,937.53

Response

Available


Response Message

The amount block can be performed

Verify

Save & Close

Cancel

Field	Description	Sample Values
	Click plus icon to add new Collateral Details.	
Edit	Click edit link to edit any existing Collateral Details.	
Delete icon	Click delete icon to remove any existing Collateral Details.	
Collateral Type	<p>Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	

Field	Description	Sample Values
Collateral %	<p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Currency	<p>Read only field.</p> <p>The guarantee currency will get defaulted in this field.</p>	
Contribution Amount	<p>Collateral contribution amount will get defaulted in this field.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Commission, Charges and Taxes Details

After Advices, click on Next button and on landing the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be

defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission,Charges and Taxes ×

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Charge Details

Component

Tag currency

Tag Amount

Currency

Amount

Modified

Billing

Defer

Waive

Charge Party

Settlement Account

No data to display.										
Page 1 (0 of 0 items) < 1 >										

Tax Details

Component

Type

Value Date

Currency

Amount

Billing

Defer

Settlement Account

--	--	--	--	--	--	--	--

Save & Close

Cancel

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step. This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same is updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTfPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Defer	Charges can not be deferred further. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary.	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	On click the Request Clarification button the user can request for an Online clarification from customer. Clicking the button opens a detailed screen to capture the clarification details.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	Cancel the task window and return to dashboard. The data entered will not be saved.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.	

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Preview Message

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

Based on the Guarantee Cancellation details captured in the previous screen, the preview message simulated from the Back Office and the user can view the message.

Field	Description	Sample Values
Preview SWIFT Message		
Currency	The tax currency is the same as the commission.	
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	

Field	Description	Sample Values
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	
Following fields will have values on receipt of customer response.		
Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system	
Customer Remarks	Remarks from the customer for the draft	
Response Date	Customer Response received date.	
Default Email list	Default email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard.</p> <p>The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Incoming Message	<p>Clicking this button allows the system to display the incoming SWIFT MT 767 message received.</p>	
View Undertaking	<p>Clicking on View Undertaking button enables user to view the details of the undertaking.</p>	

Settlement Details

In the Settlement details section, the user can verify and enter the settlement details data segment of the Islamic Guarantee/SBLC advise Cancellation request.

Islamic Guarantee Advise Cancellation
DataEnrichment :: Application No:- PK2IGAD000071793

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message View Undertaking

Main Guarantee Preference Additional Fields Advices Additional Details **Settlement Details** Summary

Settlement Details
☐ Current Event

Screen (6 / 7)

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
COLLAMT_OSEQ	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLL_AMNDAMTEQ	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLLAMTEQ	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLLAMT_DECR	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLLAMT_INCR	USD	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLL_AVALAMTEQ	USD	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No

COLLAMT_OSEQ - Party Details

Transfer Type

Charge Details

Netting Indicator

Ordering Customer

Ordering Institution

Senders Correspondent

Receivers Correspondent

Intermediary Institution

Account With Institution

Beneficiary Institution

Ultimate Beneficiary

Intermediary Reimbursement Institution

Payment Details

Sender To Receiver 1

Sender To Receiver 2

Sender To Receiver 3

Sender To Receiver 4

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Currency Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Currency Event	System displays the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard. The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Next	<p>Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.</p>	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Summary

User can review the summary screen of details of Data Enrichment stage of Islamic Guarantee/SBLC Advise Cancellation request.

User can see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

Guarantee Cancellation Islamic
DataEnrichment :: Application No:- PK2IGCI000011928

Documents Remarks Overrides Customer Instruction Common Group Messages Incoming Message

Screen (7 / 7)

Summary

Main SBLC/Guarantee Type : Submission Mode : Desk Date of Issue : 2021-05-05	Guarantee Details FFT Code 1 : FFT Code 2 :	Additional Fields Click here to view Additional fields :	Guarantee Preferences Collection by : Delivery of Original Amendment :
Local Guarantee Collection by : Delivery of Original Amendment :	Advices Advice 1 : Advice 2 :	Commission,Charges and Taxes Charge : Commission : Tax : Block Status : Not Initia	Preview Message Language : ENG Preview Message : -
Limits and Collaterals Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 840 Collateral Status : Not Verified	Party Details Beneficiary : MARKS AND Applicant : GOODCARE PLC	Accounting Details Event : AccountNumber : Branch :	

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- **Main Details** - User can view the details about application and Guarantee/Standby. User can only view but cannot edit any of the details.
- **Party Details** - User can view the party details like beneficiary, advising bank etc. User can only view but cannot edit any of the details.
- **Guarantee Details** - User can view the Guarantee Details. User can only view but cannot edit any of the details.
- **Additional Details** - User can view the User Defined Field details. User can only view but cannot edit any of the details.
- **Limits and Collaterals** - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.
- **Commission, Charges, Taxes** - User can view the charge details. User can only view but cannot edit any of the details.
- **Additional Fields** - User can view the UDF maintained.

- Preview Message - User can have a preview of the message.
- Advices - User can view the advices details.
- Accounting Details - User can view the accounting entries generated by back office system.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Cancel	<p>Cancel the task window and return to dashboard.</p> <p>The data entered will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	

Field	Description	Sample Values
Next	Click Next to move to next logical step in DE stage. The system validates if all the mandatory fields have been captured. Necessary error and override messages to be displayed.	
Submit	Task will get moved to next logical stage of Guarantee Advise Cancellation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the system to display the incoming SWIFT MT 767 message received.	
View Undertaking	Clicking on View Undertaking button enables user to view the details of the undertaking.	

Multi Level Authorization

A User can view the summary of details updated in multilevel approval stage of Islamic Guarantee Advise Cancel request.

As an approver user, log in into OBTFPM application the Guarantee/SBLC Cancellation task should be available in the Free Task. The user can acquire the task and able to see the summary tiles. The tiles should display a list of important fields with values. The user can drill down from summary Tiles into respective data segments where I verify the details of all fields under the data segment.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Re-Key Authorization

If rekey authorization set up is available, then on clicking Acquire, the task will land on the rekey authorization screen otherwise the task will land on the summary screen.

Approval Rekey

Documents

Remarks

Undertaking Amount

£25,000.00

Undertaking Currency

GBP

Refer
Close
Proceed

The user can view the details of multilevel approval stage of Guarantee Cancellation request in the Summary screen.

Click Next to view the Summary

Documents and Checklist: Documents:

The approver user can view the uploaded documents and verify the same. Verify the uploaded documents.

Checklist: The approver user can verify the uploaded documents.

Remarks: The approver user can view the remarks captured during various stages.

Incoming Message: As approval user, I should be able to view the incoming message, if the process is initiated through STP of incoming MT 767.

Approval Summary Screen

Islamic Guarantee Advise Cancellation
Approval Task Level 1 : Application No:- PK2IGAD000071793

Main	Guarantee Preference	Additional Fields	Advices	Limits and Collaterals
SBLC/Guarantee Type : OTHR Submission Mode : Desk Date of Issue : 2021-05-05	FFT Code 1 : FFT Code 2 :	Click here to view : Additional fields	Advice 1 : Advice 2 :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 96.96 Collateral Status : Not Verified
Commission,Charges and Taxes	Preview Message	Exception(Approval)		
Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	EXCEPTION : Nil		

Audit

Reject Hold Refer Cancel Approve

Tiles Displayed in Summary

- Main Details - User can view the details about application and Guarantee/Standby. User can only view but cannot edit any of the details.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot edit any of the details.
- Guarantee Details - User can view the Guarantee Details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot edit any of the details.
- Limits and Collaterals - User can view the captured details of limits and collateral. User can only view but cannot edit any of the details.
- Commission, Charges, Taxes - User can view the charge details. User can only view but cannot edit any of the details.
- Additional Fields - User can view the UDF maintained.
- Preview Message - User can have a preview of the message.
- Advices - User can view the advices details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. The user would be able to select a Reject code and give a Reject Description <p>Other users should be able to see the reject reason in remarks window throughout the process.</p>
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes.</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others.
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>

Handoff:

On Approve, the task is handed off to the back office (LCDGUAMD) for postings. In the back office, the relevant accounting entries are posted, advises are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task lands to retry handoff queue. The user can manually try to initiate handoff.

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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